



# DEPARTMENT OF CONSERVATION

## DIVISION OF RECYCLING – INDUSTRY SERVICES BRANCH

801 K STREET • MS 15-29 • SACRAMENTO, CALIFORNIA 95814

PHONE 916 / 323-1835 • FAX 916 / 323-2787 • TDD 916 / 324-2555 • WEB SITE [conservation.ca.gov](http://conservation.ca.gov)

December 8, 2006

### NOTICE

**TO: BEVERAGE DISTRIBUTORS AND MANUFACTURERS CURRENT ANNUAL REPORTERS**

**SUBJECT: ANNUAL REPORTING PROGRAM**

2006 Annual Reporting and Payments

As previously approved by the Department of Conservation (Department) to make annual payments for the 2006 calendar year, **your California Redemption Value (CRV) and/or processing fee report(s) and payment(s) for 2006 are due no later than February 1, 2007.** Enclosed are copies of the Distributor Report (DR-3) and Beverage Manufacturer Report (DR-4) forms for your convenience. If reporting annually for 2006, please state your reporting period as “1-1-06” through “12-31-06” on the enclosed form(s).

Beginning with sales in October 2006, distributors should now subtract and retain 1.5% of the California Redemption Value reported on the Distributor Report (DR-3) because of recent legislative changes. The first form should report sales from January through September 2006 subtracting a 1% administrative fee and the second form should report sales from October through December 2006 subtracting a 1.5% administrative fee. Please continue to use the forms you currently have (cross out the 1% and write in 1.5%) until your supply is depleted. You may order additional DR-3 forms from IKON Office Solutions by phone at (916) 852-1010 or by fax at (916) 638-0909.

**If you do not have any sales for the reporting period, you are still required to submit a report to the Department for each ID number. Indicate in the appropriate box on the reporting form, nothing to report.** Submit completed forms with full payment to:

Department of Conservation  
PO Box 277820  
Sacramento, CA 95827

The Department will determine your eligibility to report annually in 2007 based on the amount of CRV and/or processing fee payments made for each identification number in the past 12 months. If eligible, the Department will automatically enroll your company as a participant in the 2007 annual reporter program for each identification number. If you are not eligible to be an annual reporter, you will be required to file monthly reports. You will be notified of the Department's decision as to whether you are a monthly or annual reporter by mail no later March 1, 2007. If you have any questions regarding this notice, please contact your account representative at (916) 323-1835.

Sincerely,

John Panenka, Manager  
Industry Services Branch

Enclosures

**DISTRIBUTOR REPORT**

STATE OF CALIFORNIA - The Resources Agency  
DEPARTMENT OF CONSERVATION  
Division of Recycling  
DR-3 (1/04)



PRINTED ON RECYCLED PAPER

FOR STATE USE ONLY

CCN

FOR STATE USE ONLY

POSTMARK

AMOUNT

REC'D BY

COMPANY NAME

CONTACT PERSON

ADDRESS

PHONE NUMBER

DISTRIBUTOR ID#

REPORTING PERIOD

MM DD YY

THRU

MM DD YY



CHECK THIS BOX IF YOU HAVE A CHANGE OF ADDRESS.



AMENDMENT TO FSN


CHECK THIS BOX IF YOU HAVE CLOSED THIS BUSINESS

## PART I - PAYMENT REPORT

**REDEEMABLE CONTAINERS SOLD OR TRANSFERRED****UNDER 24 OUNCE CONTAINERS**

MATERIAL	CONTAINER COUNT	RATE	CRV
ALUMINUM		X.04= \$	.
GLASS		X.04= \$	.
PLASTIC PETE		X.04= \$	.
PLASTIC HDPE		X.04= \$	.
PLASTIC VINYL		X.04= \$	.
PLASTIC LDPE		X.04= \$	.
PLASTIC PP		X.04= \$	.
PLASTIC PS		X.04= \$	.
OTHER PLASTIC		X.04= \$	.
BIMETAL		X.04= \$	.

☐ CHECK THIS BOX IF YOU DO NOT HAVE ANYTHING TO REPORT THIS PERIOD.

## PART II - REFILLABLES (if nothing to report please enter zero on all fields)

REFILLABLE CONTAINERS SOLD OR TRANSFERRED	
MATERIAL	CONTAINER COUNT
GLASS	
OTHER	
REFILLABLE CONTAINERS RETURNED	
MATERIAL	CONTAINER COUNT
GLASS	
OTHER	

**SIGNATURE**

INTEREST AT THE RATE EARNED BY THE POOLED MONEY INVESTMENT ACCOUNT AND CIVIL PENALTIES OF UP TO 15 PERCENT OF THE AMOUNT DUE FOR PAYMENT MAY BE ASSESSED FOR EACH UNDERPAYMENT OR LATE PAYMENT. IN ADDITION, CIVIL PENALTIES OF UP TO FIVE THOUSAND DOLLARS (\$5,000) PER DAY MAY BE ASSESSED FOR FAILURE TO REPORT. I CERTIFY UNDER PENALTY OF PERJURY THAT THE FACTS PRESENTED HEREIN ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE.

DISTRIBUTOR'S SIGNATURE

DATE

FORM SERIAL NUMBER (FSN):

**24 OUNCE AND LARGER CONTAINERS**

MATERIAL	CONTAINER COUNT	RATE	CRV
ALUMINUM		X.08= \$	.
GLASS		X.08= \$	.
PLASTIC PETE		X.08= \$	.
PLASTIC HDPE		X.08= \$	.
PLASTIC VINYL		X.08= \$	.
PLASTIC LDPE		X.08= \$	.
PLASTIC PP		X.08= \$	.
PLASTIC PS		X.08= \$	.
OTHER PLASTIC		X.08= \$	.
BIMETAL		X.08= \$	.

(SUM OF TOTALS ABOVE) = TOTAL:

(TOTAL X 0.01) = ADMIN FEE:

(TOTAL - ADMIN FEE) = CRV DUE:

For more information, visit our website at: [www.conservation.ca.gov](http://www.conservation.ca.gov)

RETURN TO DEPARTMENT OF CONSERVATION, P.O. BOX 277820, SACRAMENTO, CALIFORNIA 95827

# BEVERAGE MANUFACTURER REPORT

STATE OF CALIFORNIA - The Resources Agency  
DEPARTMENT OF CONSERVATION  
Division of Recycling

DR-4 (1/00)



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FOR STATE USE ONLY

CCN

FOR STATE USE ONLY

POSTMARK

AMOUNT

REC'D BY

COMPANY NAME

ADDRESS

ID#

REPORTING PERIOD    THRU

☐ AMENDMENT TO FSN

CONTACT PERSON

TELEPHONE NUMBER








☐ CHECK THIS BOX IF YOU DO NOT HAVE ANYTHING TO REPORT THIS PERIOD.

☐ CHECK THIS BOX IF YOU HAVE A CHANGE OF ADDRESS.

☐ CHECK THIS BOX IF THERE IS A CHANGE OF OWNERSHIP  
EFFECTIVE DATE :

☐ CHECK THIS BOX IF YOU CLOSED THIS BUSINESS.  
EFFECTIVE DATE :

## REDEEMABLE CONTAINERS SOLD OR TRANSFERRED

MATERIAL TYPE ASSESSED PF	CONTAINER COUNT	X	UNIT FEE	=	PROCESSING FEE
GLASS					.
PLASTIC PETE 					.
PLASTIC HDPE 					.
PLASTIC VINYL 					.
PLASTIC LDPE 					.
PLASTIC PP 					.
PLASTIC PS 					.
OTHER PLASTIC 					.
BIMETAL					.
TOTAL DUE					.

INTEREST AT THE RATE EARNED BY THE POOLED MONEY INVESTMENT ACCOUNT AND CIVIL PENALTIES OF UP TO 15 PERCENT OF THE AMOUNT DUE FOR PAYMENT MAY BE ASSESSED FOR EACH UNDER PAYMENT OR LATE PAYMENT. IN ADDITION, CIVIL PENALTIES OF UP TO FIVE THOUSAND DOLLARS (\$5,000) PER DAY MAY BE ASSESSED FOR FAILURE TO REPORT. I CERTIFY UNDER PENALTY OF PERJURY THAT THE FACTS PRESENTED HEREIN ARE TRUE AND CORRECT TO THE BEST OF MY KNOWLEDGE.

MANUFACTURER'S SIGNATURE/TITLE

DATE

FORM SERIAL NUMBER (FSN):

RETURN TO DEPARTMENT OF CONSERVATION, P.O. BOX 277820, SACRAMENTO, CA 95827

## HISTORICAL REPORTING RATES DISTRIBUTOR REPORT (DR-3)

The following chart reflects the Distributor California Redemption Value in effect for the reporting periods indicated in each column.

	Current Rates		Previous Rates →		Previous Rates →
	10-01-2006 to 06-30-2007		01-01-2004 to 09-30-2006	01-01-2003 to 12-31-2003	01-01-2001 to 12-31-2002
California Redemption Value Rates→					
All Material Types (Under 24 ounces) →	\$0.04		\$0.04	\$0.025	\$0.025
All Material Types (24 ounces or more) →	\$0.08		\$0.08	\$0.05	\$0.05
*Administrative Fee →	1.5% (0.015)		1% (0.01)	1% (0.01)	1% (0.01)

## BEVERAGE MANUFACTURER REPORT (DR-4)

The following chart reflects the Beverage Manufacturer Processing Fee rates in effect for the reporting periods indicated in each column.

Processing Fee Rates →		**Current Rates				Previous Rates →				Previous Rates →	
		Beginning 01-01-2007	01-01-2006 to 12-31-2006	01-01-2005 to 12-31-2005	01-01-2004 to 12-31-2004	01-01-2003 to 12-31-2003	01-01-2002 to 12/31/2002				
Material Types:											
Aluminum		None	None	None	None	None	None	None	None	None	None
Glass		None	\$0.00229	\$0.00247	\$0.00181	\$0.00529	\$0.00493				
Plastics:											
#1 - PET (Polyethylene Terephthalate)		None	\$0.00159	\$0.00223	\$0.00167	\$0.00573	\$0.00540				
#2 - HDPE (High Density Polyethylene)		None	\$0.00503	\$0.00869	\$0.01042	\$0.01391	\$0.01160				
#3 - PVC (Polyvinyl Chloride)		.05054	\$0.05501	\$0.03707	\$0.03578	\$0.00843	\$0.00695				
#4 - LDPE (Low Density Polyethylene)		.01381	\$0.01181	\$0.02915	\$0.03153	\$0.00207	\$0.00181				
#5 - PP (Polypropylene)		.02722	\$0.02480	\$0.06217	\$0.07468	\$0.00643	\$0.00881				
#6 – PS (Polystyrene)		.01471	\$0.01437	\$0.02988	\$0.02930	\$0.00115	\$0.00115				
#7 – Other		.05338	\$0.03664	\$0.03864	\$0.02160	\$0.00867	\$0.01673				
Bi-metal		.02841	\$0.02557	\$0.02151	\$0.02194	\$0.00599	\$0.00772				

\* Administrative fee changed per AB 3056 (Chapter 907, Statutes of 2006)

\*\* Beverage Manufacturers are required to submit completed DR-4 reports of beverage containers sold in GLASS, PET and HDPE even though no processing fees are to be paid for the reporting periods January 1, 2007 through December 31, 2007. This reporting requirement also applies to BM participants with 2007 Annual Reporting status whose single report is due for submission in February 1, 2008.